OVERSIGHT MONITORING AND ACCOUNTABILITY

Trust Management Improvements Steering Committee. Management of Indian trust lands and Indian trust funds is a Departmental mission. Responsibility for its accomplishment rests with the Secretary of the Interior. The Special Trustee, with the full participation of other Departmental officers, will take the lead in developing a *High Level Implementation Plan* and oversee its execution. The project will be accomplished under the guidance and oversight of the Trust Management Improvement Steering Committee, chaired by the Secretary, with membership as depicted in chart below. Between scheduled meetings of the Steering Committee, the Assistant Secretary - Policy, Management and Budget will moderate and coordinate meetings no less than every three weeks with appropriate Committee members and staff to deal with any resource issues, roadblocks, or other matters that cannot wait until the next Steering Committee meeting for resolution.

TRUST MANAGEMENT IMPROVEMENTS STEERING COMMITTEE

The Chief Information Officer will provide Departmental oversight on the systems and information resources management aspects of these efforts. All trust system acquisition will be accomplished in compliance with the Clinger-Cohen Act and the implementing Raines Rules.

The respective Bureau and Office heads are individually responsible for implementing trust management improvement activities in their respective line organizations.

A consolidated budget will be developed and coordinated and under the oversight of the Special Trustee for American Indians, who will review and certify plans and budget budgets in accordance with the American Indian Trust Funds Management Reform Act of 1994.

Day-to-day activities relating to the TMIP will overseen by a Departmental TIMT, consisting of senior managers from the respective Bureaus and Offices and led by the designated Project Manager, Thomas Thompson. The structure for that Team is depicted below. This chart also portrays the respective Bureau and Office responsibilities for the Trust Management Improvement Sub projects.

This Team will monitor and report on the accomplishment of the Sub projects. The Team will use the critical milestones detailed in pages 64-67, budgetary information highlighted in this Plan, detailed budget requirements submitted to the OST, and critical-path tasks activities documented in this Plan.

TRUST IMPROVEMENT MANAGEMENT TEAM

SUB PROJECT MANAGERS

Below are listed the responsible Sub project Managers from the respective Bureaus and Offices. The named individuals have primary responsibility for planning, managing and leading the effort described in the High Level Implementation Plan for designed Sub projects.

DATA CLEANUP

OST Data Clean Up Douglas Lords, OST

BIA Data Clean Up Moni Infield & Stuart Ott, BIA

BIA Probate Backlog Rosemary Knoki, BIA

OHA Probate Backlog Robert Baum, OHA

BIA Appraisal Program Larry Schrivner, BIA

SYSTEMS IMPROVEMENTS

Trust Funds Accounting System (TFAS) Dianne Moran, OST

Trust Asset Accounting and Management System (TAAMS) Charrisa Smith, DOI

LRIS Enhancements Charissa Smith, DOI

MMS Systems Reengineering Milton Dial, MMS

RELATED AND SUPPORTING EFFORTS

Records Management Joint Working Group, DOI

Policy and Procedures Richard Fitzgerald, OST

Training Dianne Moran, OST

Internal Controls Harriet Brown, OST

PROJECT COMMUNICATION AND OVERSIGHT

The communication channels for oversight and management of the Trust Management Improvement Project are depicted in the following diagram.

SPECIAL TRUSTEE OVERSIGHT

The Special Trustee, with the full participation of other Departmental officers, will take the lead in developing a *High Level Implementation Plan* and oversee its execution. In fulfilling the oversight role assigned the Special Trustee, OST will require submission of quarterly progress reports from participating Bureaus and Offices showing:

1. Quantitative comparisons of progress the in clean up efforts, including:

a. OTFM file jacket and financial trust document status;

b. BIA data clean up of land records and lease information;

c. BIA probate backlog reduction statistics;

d. OHA probate backlog reduction statistics;

e. BIA appraisal program statistics;

f. Imaging production statistics.

2. System implementation progress, including:

a. Trust Funds Accounting System conversion, training, pilot, and roll out activities;

b. Trust Asset and Accounting Management System acquisition, conversion, training, pilot, and roll out activities;

c. LRIS Enhancements efforts, including acquisition, conversion, training, pilot and roll out activities;

d. Status of MMS Reengineering effort;

e. Interface development status.

3. Implementation support activities, including:

a. Training;

b. Policy and Procedures;

c. Records Management and Imaging;

d. Internal Controls.

EXTERNAL OVERSIGHT

External oversight is expected from the GAO, which has an on-going review of the status of the High Level Implementation Plan for the Senate Indian Affairs Committee, and the OMB, which has included status of improvement to Indian trust management in monthly status reporting to the Director. Further, appropriation and oversight committees of the Congress have requested semi-annual reports and periodic status briefings from the Department to remain abreast of the trust improvement effort.

PROJECT BUDGET ESTIMATE SUMMARY

The following table summarizes the estimates of the Sub project funding requirements for Fiscal Years 1997, 1998, 1999, and 2000. The estimate for FY 2000 has been developed by the Sub project teams. The amounts actually requested by the Bureaus, the Department, or the President in FY 2000 may be more or less.

Summary of Sub Project Budget Estimates

(Dollars in millions)

Sub Project	FY 1997/1998	FY 1999	FY 2000
OST Data Clean Up	6.2	4.0	1.5
BIA Data Clean Up		4.4	7.8
BIA Probate Backlog	.6	3.6	7.1
OHA Probate Backlog			5.6
BIA Appraisal Program		3.4	9.5
CLEAN UP SUBTOTALS	**6.8**	**15.4**	**31.5**
Trust Funds Accounting System	8.6	9.7	14.9
Trust Asset Accounting and Management System		6.4	6.3
LRIS Enhancements		4.2	4.2
MMS Systems Reengineering		1.0	4.7
SYSTEMS SUBTOTALS	**8.6**	**21.3**	**30.1**
Records Management	3.6	4.6	8.9
Policy and Procedures	.2	.2	1.0
Training	1.3	1.0	6.0
Internal Controls	2.6	1.3	3.0
SUPPORT SUBTOTALS	**7.7**	**7.1**	**18.9**
GRAND TOTALS	**23.1**	**43.8**	**80.5**